



WHAT WE DELIVER

- High Level Cyber Security Assessment & Report
- Strategic Cyber Plan tailored to your needs
- Expertise in Regulatory Compliance
- Testing, Assessment, Mitigation, & Monitoring
- Implementation, Architecture, Incident Response
- Get Security and Privacy Rapid Assessment®

Rapid Assessment® is a quick-hit, high-value diagnosis of your risk exposure. Results include risk gap & industry benchmark analysis along with action plan.

Request a Rapid Assessment® Today !

An Independently Owned Member
MCGLADREY ALLIANCE



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FINRACOMPLIANCE.COM

**RELIABLE
KNOWLEDGEABLE
DEDICATED**

Protect your organization against the rising threat of cyber attacks.

Assessments tailored to your needs and specific to regulatory risk and compliance requirements.

Strategic road map, executive level evaluation, regulatory and technical expertise in one coordinated program.

Compliance Support since 1989

**CyberSecurity
Consulting &
IT Implementation**



CYBERSECURITY & TECHNOLOGY SOLUTION

CYBERSECURITY RISK ASSESSMENT & GOVERNANCE

RND's Cybersecurity plan is a comprehensive solution with collaboration between top tier IT experts and our professional compliance & risk management team.

We deliver a solution that evaluates compliance, risk, and emerging trends. Go beyond IT and implement cyber-security for brokerage and securities firms.

- Cyber Security quick-hit, high-value analysis technology
- Evaluation of compliance within regulatory standards
- C-Level analysis & presentation plus implementation
- Written Policies & Procedures based on your objectives
- Cybersecurity training, checklists & best practices
- Periodic assessments & on-going monitoring
- Fintech & Emerging financial firm evaluations
- Integrated "Reg-Tech" SaaS Compliance Support

Evaluate your firms ability to protect against rapidly growing cyber threats. Get actionable solutions and stay ahead with on-going monitoring.

**Where cybersecurity and
regulatory governance meet.
Call us for details.**



(818) 657-0288

WWW.FINRACOMPLIANCE.COM

Los Angeles | New York

CONSULTING SERVICES

- Cyber Security Health Check
- Cyber Security Risk Assessments | Specialty Reviews
- Cyber Security WSP | Written Policies & Procedures
- Cyber Security Controls Implementation & Monitoring

TECHNICAL SECURITY SERVICES

- Vulnerability & Penetration testing of Network & Operating system (plus Web Apps, Software, Wireless)
- Payment Card Industry PCI – Gap Analysis & Testing
- Digital Forensics & Incident Response "DFIR"
- Architecture Review & IT Health Checks

TRAINING & STAFF AWARENESS

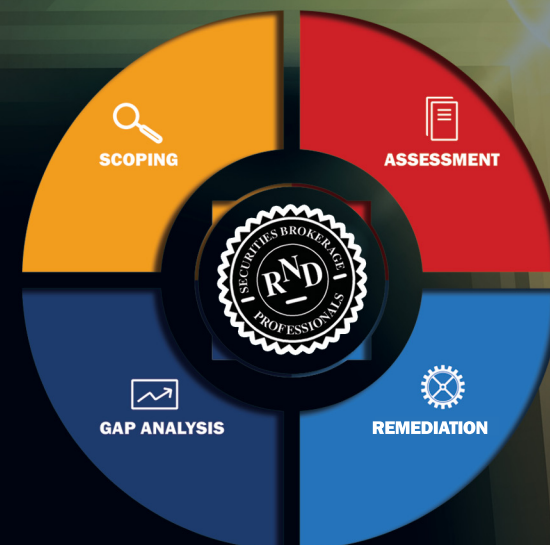
- Employee Phishing Vulnerability Assessment
- Staff Awareness Training
- Staff Cyber-Resilience Training
- Business wide approach to cyber-security ecosystem

WHAT YOU GET

- Inside Out Protection. Defense and Prevention.
- Regulatory Expertise & Information Technology Expertise
- Confidence In Your Digital Future

A comprehensive plan designed to expose your threats and vulnerabilities, secure your environment and build confidence in your cybersecurity program. We deliver risk assessment, written procedure & policies, implement technical controls, build staff cyber awareness, and handle on-going maintenance.

**Demo our proprietary "Reg-Tech"
SaaS Compliance Solution.**



OUR APPROACH

SCOPING

Set overall security objectives, framework, goals, and strategy. Identify exposure. Review critical systems & data classification.

ASSESSMENT

Capability of security processes & systems. Perform high-level diagnostic. Review & tracking of metrics.

GAP ANALYSIS

Perform gap analysis of existing framework state vs. recommended framework.

REMEDIATION

Provide C-level evaluation & presentation. Develop strategic execution plan.