

Securities Listed on American Stock Exchange or Regional Exchanges

ARCA

		%
.	Market	83.33
	Limit	16.67
	Total	100

DEALER

		%
.	Barclays	0.00
	Credit Suisse	11.77
	Convergex	11.76
	Bank of America Merrill Lynch	41.18
	Bloomberg	35.29
	Total	100

BARCLAYS

		%
.	Market	0.00
	Limit	0.00
	Total	0

CREDIT SUISSE

		%
.	Market	100.00
	Limit	0.00
	Total	0

CONVERGEX

		%
.	Market	100.00
	Limit	0.00
	Total	100.00

BANK OF AMERICA MERRILL LYNCH

		%
.	Market	71.43
	Limit	28.57
	Total	100.00

BLOOMBERG

.		%
	Market	100.00
	Limit	0.00
	Total	100

The information contained in this initial report is for the 4th quarter 2016 only as required by the SEC.

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