

Securities Listed on American Stock Exchange or Regional Exchanges

NASDAQ

	%
. Market	99.07
Limit	0.93
Total	100

DEALER

	%
. Barclays	0.75
Credit Suisse	1.49
Convergex	4.28
Bank of America Merrill Lynch	82.68
Bloomberg	10.80
Total	100

BARCLAYS

	%
. Market	0.00
Limit	100.00
Total	100

CREDIT SUISSE

	%
. Market	100.00
Limit	0.00
Total	100

CONVERGEX

	%
. Market	100.00
Limit	0.00
Total	100

BANK OF AMERICA MERRILL LYNCH

	%
. Market	100.00
Limit	0.00
Total	100

BLOOMBERG

.		%
	Market	98.28
	Limit	1.72
	Total	100.00

The information contained in this initial report is for the 4th quarter 2016 only as required by the SEC.

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