

Securities Listed on American Stock Exchange or Regional Exchanges

ARCA

	%
. Market	90.91
Limit	9.09
Total	100

DEALER

	%
. Barclays	0.00
Credit Suisse	0.00
Convergex	9.09
Bank of America Merrill Lynch	90.91
Bloomberg	0.00
Total	100

BARCLAYS

	%
. Market	0.00
Limit	0.00
Total	0

CREDIT SUISSE

	%
. Market	0.00
Limit	0.00
Total	0

CONVERGEX

	%
. Market	100.00
Limit	0.00
Total	100.00

BANK OF AMERICA MERRILL LYNCH

	%
. Market	90.00
Limit	10.00
Total	100.00

BLOOMBERG

.		%
	Market	0.00
	Limit	0.00
	Total	0

The information contained in this initial report is for the 3rd quarter 2016 only as required by the SEC.

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