

Securities Listed on American Stock Exchange or Regional Exchanges

NASDAQ

		%
.	Market	98.62
	Limit	1.38
	Total	100

DEALER

		%
.	Barclays	0.20
	Credit Suisse	3.77
	Convergex	1.15
	Bank of America Merrill Lynch	26.55
	Bloomberg	68.33
	Total	100

BARCLAYS

		%
.	Market	0.00
	Limit	100.00
	Total	100

CREDIT SUISSE

		%
.	Market	94.64
	Limit	5.36
	Total	100

CONVERGEX

		%
.	Market	88.24
	Limit	11.76
	Total	100

BANK OF AMERICA MERRILL LYNCH

		%
.	Market	98.06
	Limit	1.94
	Total	100

BLOOMBERG

	%
Market	99.51
Limit	0.49
Total	100.00

The information contained in this initial report is for the 3rd quarter 2016 only as required by the SEC.

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