

## Securities Listed on American Stock Exchange or Regional Exchanges

### OTC

|   |              |            |
|---|--------------|------------|
|   |              | %          |
| . | Market       | 97.67      |
|   | Limit        | 2.33       |
|   | <b>Total</b> | <b>100</b> |

### DEALER

|  |                               |            |
|--|-------------------------------|------------|
|  |                               | %          |
|  | Barclays                      | 94.42      |
|  | Credit Suisse                 | 5.58       |
|  | Morgan Stanley                | 0          |
|  | National Financial Services   | 0          |
|  | Bank of New York              | 0          |
|  | Bank of America Merrill Lynch | 0          |
|  | JP Morgan                     | 0          |
|  | <b>Total</b>                  | <b>100</b> |

### BARCLAYS

|   |              |            |
|---|--------------|------------|
|   |              | %          |
| . | Market       | 99         |
|   | Limit        | 1          |
|   | <b>Total</b> | <b>100</b> |

### CREDIT SUISSE

|   |              |            |
|---|--------------|------------|
|   |              | %          |
| . | Market       | 75         |
|   | Limit        | 25         |
|   | <b>Total</b> | <b>100</b> |

### MORGAN STANLEY

|   |              |          |
|---|--------------|----------|
|   |              | %        |
| . | Market       | 0        |
|   | Limit        | 0        |
|   | <b>Total</b> | <b>0</b> |

### NATIONAL FINANCIAL SERVICES

|   |              |          |
|---|--------------|----------|
|   |              | %        |
| . | Market       | 0        |
|   | Limit        | 0        |
|   | <b>Total</b> | <b>0</b> |

**BANK OF NEW YORK**

|   |              |          |
|---|--------------|----------|
| . |              | %        |
|   | Market       | 0        |
|   | Limit        | 0        |
|   | <b>Total</b> | <b>0</b> |

**BANK OF AMERICA MERRILL LYNCH**

|   |              |          |
|---|--------------|----------|
| . |              | %        |
|   | Market       | 0        |
|   | Limit        | 0        |
|   | <b>Total</b> | <b>0</b> |

**JP MORGAN**

|   |              |          |
|---|--------------|----------|
| . |              | %        |
|   | Market       | 0        |
|   | Limit        | 0        |
|   | <b>Total</b> | <b>0</b> |

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The information contained in this initial report is for the 3rd quarter 2009 only as required by the SEC.

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